

## APPENDIX R – EXEMPTION STATEMENTS

### **Annotated the proper exemption statement for shipments transmitted electronically Foreign Trade Statistical Regulations (15 CFR Part 30, Subpart E, Section 30.65)**

The USPPI or the authorized forwarding agent is responsible for annotating the proper exemption statement on the bill of lading, air waybill, or other commercial loading document for presentation to the carrier prior to export. The exemption statement will identify that the shipment information has been transmitted electronically using AES. The exemption statement must be clearly visible on the first page of the bill of lading, air waybill, or other commercial loading document. Failure to properly report an exemption statement could result in shipment being delayed, detained and/or penalized.

<b>AES Filing Option</b>	<b>AES EXEMPTION STATEMENT</b>
Option 2 or 3	NO SED REQUIRED-AES-Filer ID-SRN  NO SED AES- Filer ID-SRN  NDR AES- Filer ID-SRN  AES- Filer ID-SRN  AES- ITN
Option 4, when filed by the USPPI	NO SED Required AES OPT 4 USPPI ID  NO SED- AES4-USPPI ID  NDR -AES4- USPPI ID  AES4- USPPI ID
Option 4, when filed by a forwarding agent	NO SED Required AES OPT 4 USPPI ID – Filer ID(Forwarding agent)  NO SED- AES4-USPPI ID-Filer ID  NDR -AES4- USPPI ID-Filer ID  AES4- USPPI ID-Filer ID

SED = Shipper's Export Declaration

NDR = No Shipper's Export Declaration Required.

Filer ID = **9-digit** identification number of the party filing the commodity shipment information in AES.

SRN = Shipment Reference Number, uniquely identifying the shipment. SRN can be up to 17 alphanumeric characters. Must be unique for five years.

ITN = Internal Transaction Number, unique confirmation number generated by AES to the filer when the complete commodity shipment data has been accepted by AES.

NOTE: When using Option 4, it is critical that the filing option (4) is indicated in the exemption statement as shown in the table.